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Oct 29 2 pm '84

October 25, 1984

Federal Trade Commission
Room 301
Washington, D.C. 20580

Dear Sirs:

I am contacting your office in reference to requirements set forth in the Notification and Report Form for certain mergers and acquisitions governed by the Hart-Scott-Rodino Antitrust Improvements Act and its interpretations.

I would appreciate it if you would clarify the following points concerning "documentary attachments" submitted in response to items 4(a) and 4(b).

I. Item 4(a)

• Relative to Paragraph 803.2(e), will "incorporation by reference" hinder or delay, in any way, the processing cycle?

• Are separate Forms 10-K and Forms 10-Q required to be filed for

[Redacted]

• Are Forms X-17A-5 required to be filed for

[Redacted]

II. Item 4(b)

• Pursuant to Paragraph 803.2(e), annual reports may be incorporated by reference. [Redacted] annual audit report is part of the annual report. Does this allow the audit report also to be incorporated by reference?

Thank you for your attention to this inquiry.

Very truly yours,

[Redacted Signature]



Bureau of Competition
FEDERAL TRADE COMMISSION
WASHINGTON, D.C. 20580

OCT 29 1984



Dear 

Enclosed is a copy of pertinent information as requested in your undated letter which we received on October 26, 1984. Your letter requested information regarding exemptions to regulations and rules in a corporate takeover.

If you have any further questions please call me at (202) 523-3894.

Very truly yours,

Wayne E. Kaplan

Wayne E. Kaplan
Staff Attorney
Premerger Notification Office

Enclosure

10/29/

~~_____~~ letter re: 10/30/84

1. Not significant ~~to extent~~ to extent ~~of~~ system fails + i. we have to spend ~~time~~ time looking for necessary documents
2. Yes - See p33524 - "identifies when person have their own documents which are different - copies as well"
3. What are X17A-5 Forms. - Equivalent to 108.
 + i. to be filed
4. If incorporated in AR can incorporate by reference - any not incorporated must be supplied