

# APPENDIX A

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### STATE ACTIONS AGAINST ASSOCIATED COMMUNITY SERVICES, CENTRAL PROCESSING SERVICES, AND COMMUNITY SERVICES APPEAL

Venue	Year	Caption	Def(s)	Type	Allegations	Resolution
Iowa	2011	<i>State ex rel. Miller v. Associated Cmty. Servs., Inc., R. William Burland, Jr.</i>	ACS	Judicial	Misleading solicitations	Consent Judgment; Payment of \$35,000
Kentucky	2016	<i>Commonwealth of Kentucky ex rel. Andy Beshear, Attorney General v. Community Servs. Appeal, LLC</i>	CSA	Judicial	Violation of registration requirements	Assurance of Voluntary Compliance; Payment of \$5,000 in penalties and costs
Michigan	2013	<i>State of Michigan ex. rel. Bloomfield v. Associated Cmty. Servs., Inc.</i>	ACS	Administrative	Misleading solicitations	Release and Settlement Agreement; Payment of \$45,000
Minnesota	2016	<i>State of Minnesota ex rel. Swanson v. Associated Community Services, Inc., Central Processing Servs, LLC</i>	ACS CPS	Judicial	Deceptive solicitations	Consent Judgment and Order; Injunction barring solicitation in Minnesota for two years; Civil Penalty of \$200,000
	2018	<i>In the Matter of Associated Community Services, Inc., Central Processing Services, LLC, Robert W. Burland, individually, and Richard T. Cole, individually</i>	ACS CPS	Assurance of Discontinuance	Certain concerns the AGO had relating to its compliance with the Consent Judgment”	Extend solicitation ban set forth in Consent Decree one year until June 28, 2019

Venue	Year	Caption	Def(s)	Type	Allegations	Resolution
Missouri	2008	<i>State ex rel. Nixon v. Associated Cmty. Servs., Inc.</i>	ACS	Judicial	Calling individuals on state no-call list; repeated, harassing, unsolicited calls	Stipulated Assurance of Discontinuance; Payment of \$100,000
North Carolina	2009	<i>In re: Associated Cmty. Servs., Inc., R. William Burland, President, Resp't</i>	ACS	Administrative	Violation of North Carolina charitable solicitation statutes	Settlement Agreement; Payment of \$10,000
Ohio	2002	<i>In re: Associated Cmty. Servs., Inc.</i>	ACS	Judicial	Violation of Ohio solicitation statutes	Assurance of Discontinuance; Payment of \$11,000
	2008	<i>In re: Associated Cmty. Servs., Inc.</i>	ACS	Judicial	Violation of 2002 Assurance of Discontinuance; Deceptive and misleading solicitations	Assurance of Discontinuance; Payment of \$50,000
	2016	<i>In re: Associated Cmty. Servs., Inc.</i>	ACS	Judicial	Violation of 2002 and 2008 Assurances of Discontinuance; Violation of registration and reporting requirements; Nuisance	Assurance of Discontinuance; Payment of \$60,000
Oregon	2011	<i>State ex rel. Kroger v. Veterans of Oregon, Associated Cmty Servs, Inc., et al.</i>	ACS	Judicial	False and misleading solicitations	Stipulated Limited Judgment; Injunction barring solicitation in Oregon for 2 years; Payment of \$40,000
South Carolina	2008	<i>In re: Associated Cmty. Servs., Inc.</i>	ACS	Administrative	Failure to make required disclosures	Letter of Understanding; Payment of \$2,500
	2010	<i>In re: Associated Cmty. Servs., Inc.</i>	ACS	Administrative	Failure to make required disclosures	Notice of Request to Discontinue Violations

Venue	Year	Caption	Def(s)	Type	Allegations	Resolution
	2011	<i>In re: Associated Cmty. Servs., Inc.</i>	ACS	Administrative	Late filing of fundraising contract	Notice of Imposition of Fine
	2013	<i>In re: Associated Cmty. Servs., Inc.</i>	ACS	Administrative	Failure to make required disclosures and misrepresentation	Notice to Discontinue Violations
	2014	<i>In re: Associated Cmty. Servs. Inc.</i>	ACS	Administrative	Failure to register individual professional solicitor	Notice to Discontinue Violations
	2010-2011	<i>In re: Community Servs. Appeal, Inc.</i>	CSA	Administrative	Delinquent Registration	Notice of Violation and Notices of Imposition of Fine; Payment of \$2,000
	2015	<i>In re: Community Servs. Appeal, Inc.</i>	CSA	Administrative	Delinquent Registration	Notice of Violation
	2018	<i>In re: Community Servs. Appeal, Inc.</i>	CSA	Administrative	Delinquent Registration	Notice of Violation
<b>Virginia</b>	2012	<i>Commonwealth of Virginia ex rel. Cuccinelli v. Associated Cmty Servs., Inc.</i>	ACS	Judicial	Violation of registration requirements	Consent Decree; Permanent injunction; Return of donated funds; Payment of \$40,000 in civil penalties, expenses, and attorney's fees.