

3. Agency Component Abbreviations

Component Abbreviation	Component Name
FTC	Federal Trade Commission

IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
15 U.S.C. § 18a(h)	Information submitted in connection with the premerger notification provisions of the HartScott-Rodino Antitrust Improvements Act of 1976	N/A	FTC	11	11
21 U.S.C. § 355	Any information or documentary material that may have been filed pursuant to a pharmaceutical	N/A	FTC	1	1

	agreement notification				
*15 U.S.C. §§ 46(f), 57b- 2(f)	"[A]ny trade secret or any commercial or financial information which is obtained from any person and which is privileged or confidential" and certain investigative materials received by the FTC and "provided pursuant to any compulsory process under this subchapter or which is provided voluntarily in place of such compulsory process"	A. Michael's Piano, Inc. v. FTC, 18 F.3d 138, 143-44 (2d Cir. 1994) (15 U.S.C. § 57b-2); Ctr. for Digit. Democracy v. FTC, 189 F. Supp. 3d 151, 15859 (D.D.C. 2016) (15 U.S.C. § 46(f)); Nat'l Educ. Ass'n v. FTC, No. 79-959-S, 1983 WL 1883, at *1 (D. Mass. Sept. 26, 1983) (15 U.S.C. § 57b-2).	FTC	43	110
			FTC	64	
			FTC	3	

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
FTC	48	1619	1579	88
AGENCY OVERALL	48	1619	1579	88

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
FTC	433	507	137	180	0	32	10	34	170	12	13	51	1579
AGENCY OVERALL	433	507	137	180	0	32	10	34	170	12	13	51	1579

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FTC	Directed Requester to Publicly Available Information	33	51
	Aggregate Cases	18	
AGENCY OVERALL			51

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FTC	0	18	95	55	128	472	130	0	4	15	7	0	0	0
AGENCY OVERALL	0	18	95	55	128	472	130	0	4	15	7	0	0	0

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
FTC	4	49	49	4
AGENCY OVERALL	4	49	49	4

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Component	Records	Referred at Initial Request Level	Withdrawn	Related Reason	not Reasonably Described	Request for Other Reasons	Agency Record	Request or Appeal	in Litigation	Based Solely on Denial of Request for Expedited Processing	*Explain in chart below
FTC	1	0	1	0	0	1	0	1	0	0	0
AGENCY OVERALL	1	0	1	0	0	1	0	1	0	0	0

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FTC	N/A	0	0
AGENCY OVERALL			0

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FTC	19	17.31	2	25
AGENCY OVERALL	19	17.31	2	25

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
FTC	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	2022-09-28	2022-09-20	2022-09-16	2022-09-07
	Number of Days Pending	0	0	0	0	0	0	3	9	11	18
AGENCY OVERALL	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	2022-09-28	2022-09-20	2022-09-16	2022-09-07
	Number of Days Pending	0	0	0	0	0	0	3	9	11	18

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FTC	3	5.44	<1	81	12	22.08	<1	239	6	11.07	<1	66
AGENCY OVERALL	3	5.44	<1	81	12	22.08	<1	239	6	11.07	<1	66

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FTC	3	5.75	<1	81	19	31.54	<1	239	7.5	12.41	<1	66
AGENCY OVERALL	3	5.75	<1	81	19	31.54	<1	239	7.5	12.41	<1	66

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FTC	883	26	4	2	1	0	0	0	0	0	0	0	0	916
AGENCY OVERALL	883	26	4	2	1	0	0	0	0	0	0	0	0	916

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FTC	276	89	21	6	7	3	4	2	3	0	3	0	0	414
AGENCY OVERALL	276	89	21	6	7	3	4	2	3	0	3	0	0	414

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

		Request									
FTC	Date of Receipt	2022-07-07	2022-07-07	2022-07-06	2022-06-17	2022-06-14	2022-06-13	2022-06-08	2022-05-10	2022-05-05	2022-04-27
	Number of Days Pending	61	61	62	74	77	78	81	101	104	110
AGENCY OVERALL	Date of Receipt	2022-07-07	2022-07-07	2022-07-06	2022-06-17	2022-06-14	2022-06-13	2022-06-08	2022-05-10	2022-05-05	2022-04-27
	Number of Days Pending	61	61	62	74	77	78	81	101	104	110

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
FTC	42	190	3	5.4	201
AGENCY OVERALL	42	190	3	5.4	201

VIII.B. Requests for Fee Waiver

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
FTC	55	173	2	3.82
AGENCY OVERALL	55	173	2	3.82

IX. FOIA Personnel and Costs

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
FTC	11	2.00	13.00	1341242.35	348178.80	1689421.15
AGENCY OVERALL	11	2.00	13.00	1341242.35	348178.80	1689421.15

X. Fees Collected for Processing Requests

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
FTC	25400.88	1.8900
AGENCY OVERALL	25400.88	1.8900

XI.A. Number of Times Subsection (C) Used

Agency / Component	Number of Times Subsection Used
FTC	0
AGENCY OVERALL	0

XI.B. Number of Subsection (A)(2) Postings

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
FTC	260	0
AGENCY OVERALL	260	0

XII.A. Backlogs of FOIA Requests and Administrative Appeals

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
FTC	23	0
AGENCY OVERALL	23	0

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
FTC	1385	1619	1375	1579
AGENCY OVERALL	1385	1619	1375	1579

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual	Number of Backlogged Requests as of End of the Fiscal Year from Current
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	Report	Annual Report
FTC	9	23
AGENCY OVERALL	9	23

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
FTC	35	49	34	49
AGENCY OVERALL	35	49	34	49

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
FTC	0	0
AGENCY OVERALL	0	0